

**KAIROS INVESTMENT MANAGEMENT LIMITED**  
**SLAVERY AND HUMAN TRAFFICKING STATEMENT**

**(Prepared for the purposes of Section 54 of the Modern Slavery Act  
2015)**

29 July 2019

The Modern Slavery Act 2015 came into force on 29 October 2015 and requires businesses in scope to produce an annual statement setting out the steps they have taken to ensure that there is no modern slavery in their own business or in any of their supply chains. Modern slavery includes slavery, servitude and forced or compulsory labour and human trafficking.

Kairos Investment Management Limited (“KIML” or “the Company”) is a UK-based limited company whose principle activity is the provision of investment management services. The company focuses on the management of regulated and unregulated funds, fund of funds and discretionary management of institutions and high net worth / professional individuals. KIML is a member of the Julius Baer Group and its ultimate parent company is Julius Baer Group Ltd, which is incorporated in Switzerland and listed on the SIX Swiss Exchange.

KIML has given careful consideration to Section 54 (Transparency in Supply Chains) of the Modern Slavery Act 2015. The Company sources its services almost entirely from the United Kingdom, the European Union, Switzerland and other low-risk countries such as the United States of America where modern slavery is not prevalent. As an investment manager, we recognise that our business and supply chains are not at high risk of being susceptible to slavery and human trafficking taking place. However, as a firm, we do not tolerate forced, debt bonded, indentured labour practices, child labour or human trafficking and we expect our suppliers and service providers to meet these expectations. We will not knowingly deal with, support or purchase goods or services from suppliers engaged in such practices.

We encourage our employees to report in good faith any issues or concerns about potential ethics, discrimination, human rights, legal, or regulatory violations, including improper or unethical business practices such as fraud, bribery or money laundering. We investigate concerns raised and strive to resolve each as consistent with the law and our internal policies and procedures. Any employee found to be in violation of these standards may be subject to disciplinary action, including termination, and any supplier or service provider found to violate our ethical business expectations may be subject to termination of the business relationship.

Accordingly, it has been deemed unnecessary for Kairos Investment Management Limited to take any further action in respect of this legislation at this time.

This statement is made by Kairos Investment Management Limited, subject to the requirements of section 54 of the UK Modern Slavery Act 2015 in respect of the financial year ended 31 December 2018.

Stefano Prosperi

Chief Executive Officer

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18 December 2018

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Fabio Bariletti

Chief Executive Officer